

EXHIBIT 10



OMI95-01
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JS/bjn
03/27/96

PATENT APPLICATION
DOCKET NO.: OMI95-01

#7
LB
4/3/96

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Thomas Mark Levergood, Lawrence C. Stewart
Stephen Jeffrey Morris, Andrew C. Payne and
George Winfield Treese

Serial No.: 08/474,096 Group Art Unit: 2309L

Filed: June 7, 1995 Examiner: Unknown

For: INTERNET SERVER ACCESS CONTROL AND MONITORING SYSTEMS

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>3/27/96</u>	<u>B. J. Nannis</u>
Date	Signature
<u>Barbara J. Nannis</u>	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, or
- ☐ a \$210.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Certification under 37 CFR 1.97(e), as checked below, and
- ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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-2-

Certification Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

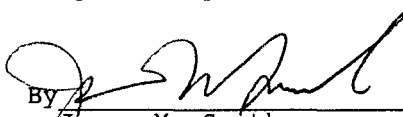
- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ abstract.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$_____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

By 
James M. Smith
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Lexington, Massachusetts 02173
Dated: 3/27/96

FORM PTO-1449 (REV. 7-80)		ATTY. DOCKET NO. OMI95-01		SERIAL NO. 08/474,096			
INFORMATION DISCLOSURE IN AN APPLICATION				APPLICANT Levergood et al.			
(Use several sheets if necessary)				FILING DATE June 7, 1995			
				GROUP 2309 2315			
U.S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
PFH	AR	Netscape Products, "Open and Secure Internet Software" INTERNET, September 18, 1995, pp. 1-2					
PFH	AS	Merchant System: Overview, "Netscape Merchant System Data Sheet" INTERNET, September 18, 1995, pp. 1-3					
PFH	AT	Internet Applications Customer Showcase, "Customer Showcase" INTERNET, September 18, 1995., pp. 1-2					
EXAMINER Patrice F. Hinder				DATE CONSIDERED 12/10/96			

Sheet 2 of 2

FORM PTO-1449 (REV. 7-80)				ATTY. DOCKET NO. OMI95-01		SERIAL NO. 08/474,096	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				APPLICANT Levergood et al.			
				FILING DATE June 7, 1995		GROUP 2309 2315	
PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
PLN	AU	The Server-Application Function and Netscape Server API, "The Netscape Server API" Netscape Products" INTERNET, September 18, 1995, pp. 1-11					
PLN	AV	The Object-Oriented Paradigm of Server Configuration, "The Object-Oriented Paradigm of Server Configuration" INTERNET, September 18, 1995, pp. 102					
PLN	AW	Verisign Redirection Information, "Important Announcement" INTERNET, September 18, 1995, pg. 1					
PLN	AX	Lou Montulli, Electronic Mail to multiple recipients of the <u>www-talk list (www-talk@www10.w3.org) on "Session Tracking" (omi.mail.www-talk, April 18, 1995)</u>					
PLN	AY	PR: Digital IDs for Open Market's Secure WebServer, "Press Release, VeriSign, Inc. to Provide Digital IDs for Open Market's Secure WebServer" INTERNET, September 18, 1995, pp. 1-2					
PLN	AZ	PR: Online Security Solutions, "VeriSign, Inc. Adds the Missing Component to Online Security Solutions" INTERNET, September 18, 1995, pp. 1-2					
PLN	AR1	The SSL Protocol, INTERNET, September 18, 1995, pp. 1-18					
PLN	AS1	IStore, "Netscape Istore Data Sheet" INTERNET, September 18, 1995, pp. 1-2					
EXAMINER Patrice L. Winder				DATE CONSIDERED 12/10/96			